



UNITED STATES PATENT AND TRADEMARK OFFICE
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
097063,335	04/20/98	NORTHCUTT	I - 830001013/F

0242/0520

HECKER & HARRIMAN
2029 CENTURY PARK EAST SUITE 1600
LOS ANGELES CA 90067

NOT ASSIGNED

2771

DATE MAILED:

05/20/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 710.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for independent claims over 3.

\$ 110 for dependent claims over 20.

\$ 110 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the Application of:

NORTHCUTT, J. D., et al.

Serial No: 09/063,335

Filed: April 20, 1998

For: METHOD AND APPARATUS FOR
PROVIDING A VIRTUAL DESKTOP
SYSTEM ARCHITECTURE

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application with a
mailing date of May 20, 1998, Applicants have enclosed the following:

1. Original signed Declarations/Powers of Attorney signed by inventors;
Messrs. J. Duane Northcutt, James G. Hanko, Alan T. Ruberg, Gerard
A. Wall, Lawrence L. Butcher and Neil C. Wilhelm;
2. A check in the total amount of \$920.00, consisting of (\$790.00) as
basic filing fee and (\$130.00) as surcharge fee set forth in 37 C. F. R. §
1.16(e);
3. A copy of the Missing Parts Notice;
4. Certificate of Mailing by EXPRESS MAIL, and
5. A return receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment associated with this communication to Hecker & Harriman Deposit Account No. 08-1520. A duplicate copy of this authorization is also enclosed.

Respectfully submitted,

Date: 7/6/98

By: 

J. D. Harriman II
Reg. No. 31,967

1925 Century Park East, Suite 2300
Los Angeles, CA 90067
(310) 286-0377

CERTIFICATE OF MAILING

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL with sufficient postage with Label No. EL111265655US in an envelope addressed to: Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, D. C., 20231 on :

July 6, 1998


Mario Federis

07/06/98
Date